



Dinas a Sir Abertawe

Hysbysiad o Gyfarfod

Fe'ch gwahoddir i gyfarfod

Panel Ariannu Allanol

Lleoliad: Ystafell Bwyllgor 5, Neuadd y Ddinas, Abertawe

Dyddiad: Dydd Mercher, 4 Hydref 2017

Amser: 2.00 pm

Cadeirydd: Cyngorydd Rob Stewart

Aelodaeth:

Cynghorwyr: M C Child, W Evans, R Francis-Davies, D H Hopkins, A S Lewis, C E Lloyd, J A Raynor, M Sherwood a/ac M Thomas

Agenda

Rhif y Dudalen.

- | | | |
|----------|--|----------------|
| 1 | Ymddiheuriadau am absenoldeb. | |
| 2 | Datgeliadau o fuddiannau personol a rhagfarnol.
www.abertawe.gov.uk/DatgeliadauBuddiannau | |
| 3 | Cofnodion:
Cymeradwyo a llofnodi, fel cofnod cywir, gofnodion y cyfarfod blaenorol. | 1 - 3 |
| 4 | Grant ar gyfer Ehangu Gwasanaethau ar Ffiniau Gofal 2017/18. | 4 - 8 |
| 5 | Grantiau i Ddarparu Cefnogaeth Ychwanegol i Bobl Ifanc sy'n Gadael Gofal. | 9 - 14 |
| 6 | Grant i Gefnogi'r Ymagwedd Genedlaethol at Eiriolaeth Statudol. | 15 - 20 |
| 7 | Grant Gofal Seibiant Gofalwyr. | 21 - 26 |
| 8 | Gwahardd y cyhoedd. | 27 - 30 |
| 9 | Trosolwg o'r Ceisiadau Presennol a'r Rhai Sydd ar Ddod am Arian Ewropeaidd ac Allanol. | 31 - 49 |

Cyfarfod Nesaf: Dydd Mercher, 1 Tachwedd 2017 ar 2.00 pm

Huw Evans

Huw Evans
Pennaeth Gwasanaethau Democrataidd
Dydd Iau, 28 Medi 2017

Cyswllt: Gwasanaethau Democrataidd - (01792) 636923

CITY AND COUNTY OF SWANSEA

MINUTES OF THE EXTERNAL FUNDING PANEL

HELD AT COMMITTEE ROOM 5, GUILDHALL, SWANSEA ON
WEDNESDAY, 6 SEPTEMBER 2017 AT 2.00 PM

PRESENT: Councillor C E Lloyd, (Vice Chair) Presided

Councillor(s)

W Evans

J A Raynor

Councillor(s)

R Francis-Davies

M Sherwood

Councillor(s)

A S Lewis

Officer(s)

Rachel Evans

Ben George

Allison Lowe

Sandie Richards

Gaynor Winsor

Principal Officer - Supporting People, Adult Services

Transport Strategy Officer

Democratic Services Officer

Principal Lawyer

Accountant

Apologies for Absence

Councillor(s): D H Hopkins, R C Stewart and M Thomas

10 **DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS.**

In accordance with the Code of Conduct adopted by the City and County of Swansea, the following interests were declared:

Councillor M Sherwood declared a personal interest in Minute 12 “Welsh Government Substance Misuse Action Fund Capital Funding” as a Board Member of WCADA. Councillor Sherwood did not vote on this item.

11 **MINUTES:**

Resolved that the Minutes of the External Funding Panel held on 5 July 2017 be signed and approved as a correct record.

12 **WELSH GOVERNMENT SUBSTANCE MISUSE ACTION FUND CAPITAL FUNDING.**

The Principal Officer, Supporting People presented a report to inform the Panel of the intention to apply for a new grant proposal.

She outlined that the Council acts as a grant recipient to enable partner organisations to receive capital funding from Welsh Government. These grants are known as Substance Misuse Action Fund (SMAF) funding.

A grant of £85,491.07 has been offered to enable WCADA (Welsh Centre for Action on Dependency and Addiction) to fund improvements in their IT infrastructure.

WCADA will fund the running costs of the equipment and there are no costs to the Authority in accepting the proposal, other than the administration of grant receipt and disbursement.

Resolved that the Panel notes the implications contained in the report and **approves** the acceptance of the grant.

13 **WELSH GOVERNMENT SOCIAL CARE WORKFORCE GRANT.**

The Principal Officer, Supporting People presented a report to seek approval to accept the Social Care Workforce Development Grant.

The funding would assist local authorities to manage the financial impacts of changing workforce costs and arrangement within the social care sector, particular pressure arising from the national living wage.

The aim of the grant is to enable the social care sector to meet the financial pressures associated with the National Living Wage. Ensuring the people who work in the sector are appropriately rewarded for the work that they do is part of the broader range of improvements to their terms and conditions. These changes are expected to support improvement in the quality and continuity of service delivered.

The Cabinet Member for Future Generations queried the term National Living Wage and asked whether the correct term was being used or whether the term 'Minimum Wage' should be used instead. The Principal Officer, Supporting People stated that she would clarify the terminology used.

Resolved that:

- 1) The Principal Officer clarify the term 'National Living Wage';
- 2) The Panel notes the implications contained in the report and **approves** the acceptance of the grant.

14 **LOCAL TRANSPORT NETWORK FUND BID 2017/18.**

The Transport Strategy Officer presented a report to approve the funding application for the Local Transport Network Fund 2017/18.

The bid would deliver a series of enhancements to improve highway network efficiency for areas that are often congested by peak hour traffic. The measures will serve to improve journey time reliability for bus public transport journeys and support the bus hubs concept. The hubs will promote improved integration between modes, and signifies the first steps in drawing together a network of transport options to begin formulating and realising an integrated transport system for Swansea and South West Wales.

The funding bid had already been submitted due to the limited time granted by the Welsh Government between the invitation and the actual submission date. Cabinet Member approval had been granted prior to the completion of the bids.

Cabinet Members asked various questions, which the Officer responded to accordingly.

Resolved that the External Funding Panel retrospectively **approve** the Local Transport Network Fund 2017/18 application.

The meeting ended at 2.47 pm

CHAIR

Agenda Item 4



Report of the Director of People

External Funding Panel – 4 October 2017

Grant for Expanding Edge of Care Services 2017/18

Purpose:	To advise Panel of the award of the Grant for Expanding Edge of Care Services 2017/18
Policy Framework:	Social Service and Well-Being (Wales) Act 2014
Consultation:	Legal, Finance and Access to Services
Recommendation(s):	That the Panel notes the implications contained in this report and retrospectively approves the acceptance of the Grant.
Report Author:	Chris Francis, Principal Officer for Business Development and Commissioning, Child & Family Services
Finance Officer:	Chris Davies
Legal Officer:	Pamela Milford
Access to Services Officer:	Catherine Window

1 Introduction

- 1.1 The purpose of this paper is to provide an overview of the Grant for Expanding Edge of Care Services 2017/18.
- 1.2 This grant has been awarded direct by Welsh Government and did not require an application from the Council. The funding, which has been made available as a result of consequential funding from the UK government's March budget, is intended to support local authorities to meet increasing demand.

- 1.3 The Cabinet Member was fully informed and consulted before the Council accepted the grant offer. Due to the short timescales for acceptance imposed by Welsh Government, this report is provided to Members for their information.
- 1.4 The majority of the Child and Family Services budget is allocated to accommodating and supporting the children who come into care. The Social Services and Wellbeing (Wales) Act 2014 emphasizes the importance of working at an earlier juncture to prevent needs escalating to the point where more significant interventions (such as a child becoming looked after by the Council) are necessary. This grant has been provided by the Welsh Government to support local authorities to meet their duties under the Act by investing in their early intervention services.
- 1.5 By investing greater sums in the internal early intervention services, it is thought that the Council can improve outcomes for families and, in the medium- to long-term, support the financial sustainability of the Council.

2 Equality and Engagement Implications

- 2.1 There are no direct equality and engagement implications arising from this report. Any new service designed as a result of this grant will be subject to the EIA process and any engagement as required.

3 Financial Implications

- 3.1 The total value of the grant is £382,823. The initial grant period is for the 1st April 2017 to the 31st March 2018 and must be claimed in full by 30th April 2018 otherwise any unclaimed part of the Funding will cease to be available.

4 Legal Implications

- 4.1 Generally the terms attached to Grant Funding are legally binding and should be formally recorded in an appropriate document/contract with any external delivery partners that are appointed to deliver the service. Where there is internal provision, the Council will need to ensure that grant conditions are fully complied with to avoid the risk of future clawback.
- 4.2 Procurement rules (both EU and the Council's) will have to be fully complied with in all respects in relation to any procurement of services from external delivery agents.

Background Papers: Screening Form - Equality Impact Assessment

Appendices: Appendix A - EFP1



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Expanding Edge of Care
c. Grant value in Total £	£382,823
d. Grant period / timescale for delivery	1 st April 2017 to the 31 st March 2018
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	Lead Body
f. Detail links to existing schemes at Local, Regional or National level	Relates to existing commissioned and internal services
g. Detail direct links to Council Policy, including, where appropriate, target areas	Social Services and Well-Being Act 2014 Safeguarding vulnerable people
h. List key target and proposed performance measures	The purpose of the funding is to enable Local Authorities to provide additional support to prevent children from becoming looked after by the Council.
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes	Welsh Government has confirmed that it will continue to support this area via another designated grant in 2018/19, and thereafter by an adjustment to the RSG.

APPENDIX 1 - EFP 1

k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	Yes – staff will be employed on a fixed-term basis.
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	Yes
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital							
Revenue							
Grant applied for:							
Capital							
Revenue	£382,823						
Match Funding Internal							
Match Funding External							

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes – the funding supports the Council priorities of: Safeguarding vulnerable people Sustainable Swansea
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	The purpose of the funding is to enable Local Authorities to deliver additional early intervention services which help reduce the numbers that become looked after.
c. Is there an exit plan? (link to 1j/l)	Staff will be employed on a fixed-term contract.

APPENDIX 1 - EFP 1

d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	The money will be spent on improving the in-house capacity of the Council to provide high quality and cost effective family support. If successful this will allow the Council to avoid the high costs associated with supporting children in care.
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	Implementation Group will be established to oversee allocation and spend
f. What are the major risks and how will they be managed?	Difficulties or delays in securing a successful model that fits with the changing shape of Child and Family Services.

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Chris Francis	
(Group)/Accountant:	Chris Davies	
External Funding Panel		
Endorsed /Not Endorsed		



Report of the Director of People

External Funding Panel – 4 October 2017

Grants to Provide Additional Support to Young People Leaving Care

Purpose:	To advise Panel of the award of the following grants to support Care Leavers: (i) Grant for Supporting Care Leavers to Successful Futures ; (ii) St David's Day Grant.
Policy Framework:	Social Service and Well-Being (Wales) Act 2014 Hidden Ambitions: Achieving the best for young people leaving care
Consultation:	Legal, Finance and Access to Services
Recommendation(s):	That the Panel notes the implications contained in this report and retrospectively approves the acceptance of the Grants.
Report Author:	Chris Francis, Principal Officer for Business Development and Commissioning, Child & Family Services
Finance Officer:	Chris Davies
Legal Officer:	Pamela Milford
Access to Services Officer:	Catherine Window

1 Introduction

- 1.1 The purpose of this paper is to provide an overview of grants to provide additional support to Care Leavers:

- Grant for Supporting Care Leavers to Successful Futures.
- St. David's Day Grant

1.2 These grants have been awarded directly by Welsh Government and did not require an application from the Council. The funding, which has been made available as a result of consequential funding from the UK government's March budget, is intended to support local authorities to meet increasing demand.

1.3 The Cabinet Member was fully informed and consulted before the Council accepted the grants. Due to the short timescales for acceptance imposed by Welsh Government, this report is provided to Members for their information.

1.4 A report by the Children's Commissioner - Hidden Ambitions: Achieving the best for young people leaving care – highlighted to Welsh Government the need to make additional financial resources available for local authorities to support care leavers. The subsequent grants have three purposes:-

- To facilitate greater work experience, trainee, apprenticeship and employment opportunities for young people leaving care.
- To develop the capacity of Personal Advisors to support Care Leavers.
- To provide additional financial support for care leavers.

2 Equality and Engagement Implications

2.1 There are no direct equality and engagement implications arising from this report. Any new service designed as a result of this grant will be subject to the EIA process and any engagement as required.

3 Financial Implications

3.1 The two grants have a cumulative value of £200,983. The Grant for Supporting Care Leavers to Successful Futures has a value of £124,418 and the St. David's Day Grant is for £76,565.

3.2 The initial grant period is the 1st April 2017 to the 31st March 2018. Funds must be claimed in full by 30th April 2018 otherwise any unclaimed part of the Funding will cease to be available.

4 Legal Implications

4.1 Generally the terms attached to Grant Funding are legally binding and should be formally recorded in an appropriate document/contract with any external delivery partners as required.

4.2 Any externally commissioned services will have to comply with the relevant procurement rules (both EU and the Council's).

4.3 The project coordinator post should be secured on a fixed-term basis for the duration of the grant funding.

Background Papers: Screening Form - Equality Impact Assessment

Appendices: Appendix A - EFP1



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	(i) Grant for Supporting Care Leavers to Successful Futures; (ii) St. David's Day Fund.
c. Grant value in Total £	£200,983 (£124,418 + £76,565)
d. Grant period / timescale for delivery	1 st April 2017 to the 31 st March 2018
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS is the sole recipient.
f. Detail links to existing schemes at Local, Regional or National level	Relates to existing commissioned and internal services
g. Detail direct links to Council Policy, including, where appropriate, target areas	Social Services and Well-Being Act 2014 Safeguarding vulnerable people.
h. List key target and proposed performance measures	The Grants support Care Leavers in three areas: <ul style="list-style-type: none"> • To promote greater work experience, trainee, apprenticeship and employment opportunities for care leavers. • To support local authorities to develop their capacity to provide personal advisers. • To provide additional financial support to care leavers (the St David's Day element).
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A

APPENDIX 1 - EFP 1

j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes	Welsh Government has confirmed that the St David's Day element will also be available for 2018/19. A fixed-term project coordinator post will be sought to deliver and drive the project for the entire duration of the grant funding.
k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	A project coordinator will be appointed on a fixed-term basis that runs for the duration of the dedicated grant funding.
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	Yes
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Ongoing £
Total project cost:				
Capital				
Revenue				
Grant received:				
Capital				
Revenue	200,983			
Match Funding Internal				
Match Funding External				

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes – the funding supports the Council priority of safeguarding vulnerable people, in particular the young people leaving care for whom the Council has been the Corporate Parent.
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	The purpose of the funding is to better support children leaving care.

APPENDIX 1 - EFP 1

c. Is there an exit plan? (link to 1j/l)	The post will be fixed-term. The project will not continue unless it is clearly able to evidence improved outcomes and cost effectiveness.
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	One of the primary aims of the project is to explore an alternative means of performing the personal adviser function. This new way of working is intended to secure improved outcomes for young people at a reduced cost for the Council.
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	Overseen by the Strategic Management Group responsible for 16+ Services which sits under the Corporate Parenting Board.
f. What are the major risks and how will they be managed?	Difficulties or delays in securing a project coordinator to take this work forward.

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Christopher Francis	
(Group)/Accountant:	Chris Davies	
External Funding Panel		
Endorsed /Not Endorsed		



Report of the Director of People

External Funding Panel – 4 October 2017

Grant to Support the National Approach to Statutory Advocacy

Purpose:	To advise Panel of the award of the Grant for the Western Bay Advocacy Service 2017 - 18
Policy Framework:	Social Service and Well-Being (Wales) Act 2014 National Approach to Statutory Advocacy
Consultation:	Legal, Finance and Access to Services
Recommendation(s):	That the Panel notes the implications contained in this report and retrospectively approves the acceptance of the Grant.
Report Author:	Chris Francis, Principal Officer for Business Development and Commissioning, Child & Family Services
Finance Officer:	Chris Davies
Legal Officer:	Pamela Milford
Access to Services Officer:	Catherine Window

1 Introduction

- 1.1 The purpose of this paper is to provide an overview of the National Approach to Statutory Advocacy and the associated Grant.
- 1.2 This grant has been awarded direct by Welsh Government and did not require an application from the Council.

- 1.3 The Cabinet Member was fully informed and consulted before the Council accepted the grant offer. Due to the short timescales for acceptance imposed by Welsh Government, this report is provided to Members for their information.
- 1.4 The purpose of the funding is to support the implementation of the National Approach to Statutory Advocacy.
- 1.5 In 2014 the Welsh Government formed a Ministerial Expert Group on Advocacy with the purpose of developing a National Approach to Statutory Advocacy Services.
- 1.6 The outcome of that Group being the recommendation that local authorities work at a regional level (i.e. Western Bay) to commission a service which adheres to the following components:-
- A standardised national service specification, which includes the concept of an Active Offer to ensure children subject to child protection or looked after procedures are informed of their rights, including their right to an advocate.
 - A common performance reporting tool.
 - A National Standards and Outcomes Framework.
 - A Range and Level Tool that calculates; (a) the level of need for advocacy in each authority, and (b) the levels of funding required to service those needs.
- 1.7 In 2016 Welsh Government obtained a commitment from the Leaders and senior Officers of all 22 local authorities for the implementation of the National Approach.
- 1.8 The 'Range and Level Tool' specifies precisely how each authority must fund advocacy. Nationally, it calculates a shortfall in the funding of in excess of £1m. The Welsh Government agreed to support the implementation of the National Approach by providing up to £550k to the local authorities. This is to be provided through a designated grant in 2017/18 and 2018/19, and thereafter it will be included within the RSG.
- 1.9 As the lead authority for the Western Bay service, Swansea Council has been provided with the total sum for the region which has to be split between the three authorities.

2 Equality and Engagement Implications

- 2.1 There are no direct equality and engagement implications arising from this report. Any new service designed as a result of this grant will be subject to the EIA process and any engagement as required.

3 Financial Implications

- 3.1 The total value of the grant is £94,035. The initial grant period is for the 1st April 2017 to the 31st March 2018 and must be claimed in full by 30th April 2018 otherwise any unclaimed part of the Funding will cease to be available.

4 Legal Implications

- 4.1 Generally the terms attached to Grant Funding are legally binding and should be formally recorded in an appropriate document/contract with external delivery partners as required.
- 4.2 Procurement rules (both EU and the Council's) have been followed to commission a single provider to deliver the regional service and an Inter-Authority agreement has been established to regulate finance and governance arrangements, including match funding obligations between the three Western Bay authorities.

Background Papers: Screening Form - Equality Impact Assessment

Appendices: Appendix A - EFP1



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Grant for the Western Bay Advocacy Service 2017 - 18
c. Grant value in Total £	£94,035
d. Grant period / timescale for delivery	1 st April 2017 to the 31 st March 2018
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS is the Lead Body for the three local authorities in the Western Bay region. The other partners are therefore Bridgend CBC and Neath Port Talbot CBC.
f. Detail links to existing schemes at Local, Regional or National level	The National Approach for Statutory Advocacy has required local authorities to work on a regional basis for the commissioning of advocacy services.
g. Detail direct links to Council Policy, including, where appropriate, target areas	Social Services and Well-Being Act 2014 National Approach to Statutory Advocacy
h. List key target and proposed performance measures	The purpose of the funding is to support Local Authorities to deliver the National Approach to Statutory Advocacy.
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes	The Council will continue to be responsible for funding the National Approach to Statutory Advocacy in 2018/19. Welsh Government has confirmed that it will continue to support this via another designated grant in 2018/19, and thereafter by an adjustment to the RSG.

APPENDIX 1 - EFP 1

k. Does the application require match-funding? Yes/No if it does where is this coming from?	The Council has long funded advocacy in line with its statutory responsibilities. The creation of a National Approach has meant the level of funding the Council has had to commit has also increased. This additional funding has had to be made from the core budget for Child and Family Services.
l. Will the project entail the employment of additional staff and on what basis?	Not by the Council. The independent provider of advocacy will now have to employ further staff.
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	Yes
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Ongoing £
Total project cost:				
Capital				
Revenue				
Grant received:				
Capital				
Revenue	94,035 - this is to be split between the three partner authorities: CCOS, NPT CBC and BCBC.	The precise level of future grants is unknown. It is likely to be similar to that for 2017/18 but the final figure will be based upon the numbers of children open to Child and Family Services in each of the 3 authorities at the end of the financial year.		
Match Funding Internal	Swansea must contribute a further sum of	Again, this is likely to be similar to the current year but will depend on the		

APPENDIX 1 - EFP 1

	£139,000	number of children open to Child and Family Services in Swansea at the end of the financial year.		
Match Funding External				

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes – the funding supports the Council priorities of: Safeguarding vulnerable people
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	The purpose of the funding is to enable Local Authorities to deliver additional advocacy services to children open to Child and Family Services
c. Is there an exit plan? (link to 1j/l)	N/A
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	A full procurement exercise has been completed but the National Approach does specify how much funding the Council must make available.
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	Overseen by the Western Bay Heads of Children's Services Group.
f. What are the major risks and how will they be managed?	Contract monitoring will be required to help ensure the National Approach to Advocacy is delivered as intended by Welsh Government.

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Christopher Francis	
(Group)/Accountant:	Chris Davies	
External Funding Panel		
Endorsed /Not Endorsed		



Report of the Chief Social Services Officer

External Funding Panel – 4 October 2017

Carers Respite Care Grant

Summary

Purpose:	To advise Panel of the award of the Carer's Respite Care Grant 2017 - 18
Policy Framework:	Social Service and Well-Being (Wales) Act 2014 Government of Wales Act 2006 Regulation and Inspection of Social Care (Wales) Act 2015
Consultation:	Legal, Finance, Adult Social Services
Recommendation(s):	That the Panel notes the implications contained in this report and approves the acceptance of the Grant.
Report Author:	Rachel Evans
Finance Officer:	Chris Davies
Legal Officer:	Debbie Smith
Access to Services Officer:	Sherill Hopkins

1. Introduction

- 1.1 The purpose of this paper is to provide an overview of the Carer's Respite Care Grant.
- 1.2 This grant has been awarded direct by Welsh Government and did not require an application from the Council.
- 1.3 The Cabinet Member was fully informed and consulted before the Council accepted the grant offer. Due to the short timescales for acceptance imposed by Welsh Government, this report is provided to Members for their information.

- 1.4 The purpose of the funding is to enable Local Authorities to deliver additional respite care for Carers (including Young Carers) in their Local Authority including:
- Day Care – a service provided outside the home without any elements of overnight stay for the Carer or the recipient of care
 - In home respite – a (paid) care worker coming into the family home to ‘sit’ with the care recipient
 - Host family respite – the Carer and the care recipient take a break together, staying with a host family
 - Institutional / overnight respite - allows breaks away from the family home for the care recipient for one or more nights
- 1.5 The role of respite care and short breaks in maintaining the wellbeing of both the Carer and the person with care needs is well established. Supporting Carers helps prevent crisis, improves their physical and mental health and ensures the wellbeing of the Carer and those they care for.
- 1.6 Expenditure on respite services is considered a prudent investment by Local Authorities in preventing crises and the involvement of higher tier services.
- 1.7 This also supports Health Boards, who report increasing examples of carer breakdown. This can lead to the Carer and their cared for family member being admitted to hospital at the same time.

2. Equality and Engagement Implications

- 2.1 An EIA Screening Form has been completed with the agreed outcome that a full EIA report was not required.
- 2.2 An implementation group will be established across Adult Social Services and Child and Family Social Services considering the needs of Carers and Young Carers of people with a wide range of needs including mental health, learning disability, older people and people with physical disabilities. It is anticipated that any impact of funding will improve the health and wellbeing of Carers (including Young Carers) and help to prevent the breakdown of the caring role.

3. Financial Implications

- 3.1 The total value of the grant is £236,893.00. The grant period is for the 1st April 2017 to the 31st March 2018 and must be claimed in full by 30th April 2018 otherwise any unclaimed part of the Funding will cease to be available.

4. Legal Implications

- 4.1 Generally the terms attached to Grant Funding are legally binding and should be formally recorded in an appropriate document/contract with external delivery partners as required.
- 4.2 Procurement rules (both EU and the Council's) will have to be fully complied with in all respects in relation to services or the procurement of services from external delivery agents.

Background Papers:

- Letter - Award of Funding in relation to Carer's Respite Care Grant
- Grant Conditions
- Schedule 1 – The Purposes
- The Notification Events (referred to in Condition 9 of the Grant Conditions)
- Screening Form – Equality Impact Assessment

Appendices:

Appendix 1 – EFP1



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel	Ref:
--	-------------

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Carer's Respite Grant
c. Grant value in Total £	£236,893.00
d. Grant period / timescale for delivery	1 st April 2017 to the 31 st March 2018
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	Lead Body
f. Detail links to existing schemes at Local, Regional or National level	Relates to existing commissioned and internal services
g. Detail direct links to Council Policy, including, where appropriate, target areas	Social Services and Well-Being Act 2014 Government of Wales Act 2006 Regulation and Inspection of Social Care (Wales) Act 2015
h. List key target and proposed performance measures	The purpose of the funding is to enable Local Authorities to deliver additional respite care for Carers (including Young Carers) in their Local Authority
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)	N/A
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i>	No

Appendix 1 - EFP 1

k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	There are no plans to employ new staff
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	Yes
N. Is a full EIA report required?	Not at this time. Once the implementation group is in place, the EIA screening will be reviewed at as early a stage as possible, to ensure that we continue to meet our equality duties.

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital							
Revenue							
Grant applied for:	£236,893.00						
Capital							
Revenue							
Match Funding Internal							
Match Funding External							

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes – the funding supports the Council priorities of: Safeguarding vulnerable people Sustainable Social Services Prevention
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	The purpose of the funding is to enable Local Authorities to deliver additional respite care for Carers (including Young Carers) in their Local Authority
c. Is there an exit plan? (link to 1j/l)	N/A

Appendix 1 - EFP 1

d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	The intention is to use the money to develop a more preventative approach which avoids the costs associated with later intervention. There is also potential to purchase assistive technology that may enable carers to have a short break, this would be procured via existing VFM arrangements.
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	Implementation Group will be established to oversee allocation and spend
f. What are the major risks and how will they be managed?	There are no foreseeable risks

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Rachel Evans	
(Group)/Accountant:	Chris Davies	
External Funding Panel		
Endorsed /Not Endorsed		



Report of the Head of Legal, Democratic Services & Business Intelligence

External Funding Panel – 4 October 2017

Exclusion Of The Public

Purpose:	To consider whether the Public should be excluded from the following items of business.	
Policy Framework:	None.	
Consultation:	Legal.	
Recommendation(s):	It is recommended that:	
1)	The public be excluded from the meeting during consideration of the following item(s) of business on the grounds that it / they involve(s) the likely disclosure of exempt information as set out in the Paragraphs listed below of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007 subject to the Public Interest Test (where appropriate) being applied.	
	Item No.	Relevant Paragraphs in Schedule 12A
	9	14
Report Author:	Democratic Services	
Finance Officer:	Not Applicable	
Legal Officer:	Tracey Meredith – Head of Legal, Democratic Services & Business Intelligence(Monitoring Officer)	

1. Introduction

- 1.1 Section 100A (4) of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007, allows a Principal Council to pass a resolution excluding the public from a meeting during an item of business.
- 1.2 Such a resolution is dependant on whether it is likely, in view of the nature of the business to be transacted or the nature of the proceedings that if members of the public were present during that item there would be disclosure to them of exempt information, as defined in section 100I of the Local Government Act 1972.

2. Exclusion of the Public / Public Interest Test

- 2.1 In order to comply with the above mentioned legislation, Cabinet will be requested to exclude the public from the meeting during consideration of the item(s) of business identified in the recommendation(s) to the report on the grounds that it / they involve(s) the likely disclosure of exempt information as set out in the Exclusion Paragraphs of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007.
- 2.2 Information which falls within paragraphs 12 to 15, 17 and 18 of Schedule 12A of the Local Government Act 1972 as amended is exempt information if and so long as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
- 2.3 The specific Exclusion Paragraphs and the Public Interest Tests to be applied are listed in **Appendix A**.
- 2.4 Where paragraph 16 of the Schedule 12A applies there is no public interest test. Councillors are able to consider whether they wish to waive their legal privilege in the information, however, given that this may place the Council in a position of risk, it is not something that should be done as a matter of routine.

3. Financial Implications

- 3.1 There are no financial implications associated with this report.

4. Legal Implications

- 4.1 The legislative provisions are set out in the report.
- 4.2 Councillors must consider with regard to each item of business set out in paragraph 2 of this report the following matters:
 - 4.2.1 Whether in relation to that item of business the information is capable of being exempt information, because it falls into one of the paragraphs set out in Schedule 12A of the Local Government Act 1972 as amended and reproduced in Appendix A to this report.
 - 4.2.2 If the information does fall within one or more of paragraphs 12 to 15, 17 and 18 of Schedule 12A of the Local Government Act 1972 as amended, the public interest test as set out in paragraph 2.2 of this report.
 - 4.2.3 If the information falls within paragraph 16 of Schedule 12A of the Local Government Act 1972 in considering whether to exclude the public members are not required to apply the public interest test but must consider whether they wish to waive their privilege in relation to that item for any reason.

Background Papers: None.

Appendices: Appendix A – Public Interest Test.

Public Interest Test

No.	Relevant Paragraphs in Schedule 12A
12	Information relating to a particular individual.
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 12 should apply. Their view on the public interest test was that to make this information public would disclose personal data relating to an individual in contravention of the principles of the Data Protection Act. Because of this and since there did not appear to be an overwhelming public interest in requiring the disclosure of personal data they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
13	Information which is likely to reveal the identity of an individual.
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 13 should apply. Their view on the public interest test was that the individual involved was entitled to privacy and that there was no overriding public interest which required the disclosure of the individual's identity. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
14	Information relating to the financial or business affairs of any particular person (including the authority holding that information).
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 14 should apply. Their view on the public interest test was that:</p> <p>a) Whilst they were mindful of the need to ensure the transparency and accountability of public authority for decisions taken by them in relation to the spending of public money, the right of a third party to the privacy of their financial / business affairs outweighed the need for that information to be made public; or</p> <p>b) Disclosure of the information would give an unfair advantage to tenderers for commercial contracts.</p> <p>This information is not affected by any other statutory provision which requires the information to be publicly registered.</p> <p>On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>

No.	Relevant Paragraphs in Schedule 12A
15	<p>Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 15 should apply. Their view on the public interest test was that whilst they are mindful of the need to ensure that transparency and accountability of public authority for decisions taken by them they were satisfied that in this case disclosure of the information would prejudice the discussion in relation to labour relations to the disadvantage of the authority and inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
16	<p>Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.</p>
	<p>No public interest test.</p>
17	<p>Information which reveals that the authority proposes: (a) To give under any enactment a notice under or by virtue of which requirements are imposed on a person; or (b) To make an order or direction under any enactment.</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 17 should apply. Their view on the public interest test was that the authority's statutory powers could be rendered ineffective or less effective were there to be advanced knowledge of its intention/the proper exercise of the Council's statutory power could be prejudiced by the public discussion or speculation on the matter to the detriment of the authority and the inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
18	<p>Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 18 should apply. Their view on the public interest test was that the authority's statutory powers could be rendered ineffective or less effective were there to be advanced knowledge of its intention/the proper exercise of the Council's statutory power could be prejudiced by public discussion or speculation on the matter to the detriment of the authority and the inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>

Agenda Item 9

By virtue of paragraph(s) 14 of Schedule 12A
of the Local Government Act 1972
as amended by the Local Government (Access to
Information) (Variation) (Wales) Order 2007.

Document is Restricted